

STATEMENT OF INTERESTS

Governor, Governor's Cabinet, Cabinet-Level Staff, Constitutional Officers, & General Assembly Members

Tennessee Bureau of Ethics and Campaign Finance
404 James Robertson Parkway, Suite 104
Nashville, TN 37243
(615) 741-7959
Ethics.Counsel@tn.gov

Please see the attached instructions before completing this form. The failure to timely and properly submit the required disclosure statement can, under T.C.A. § 3-6-205, result in the imposition of civil penalties in amounts up to ten thousand dollars (\$10,000). Attach additional pages as necessary. Note that this disclosure statement must be signed and the signature attested to by a witness in item 16. In addition, please be aware that the information listed on this statement will be transferred to an electronic format for posting on the Commission's website, pursuant to T.C.A. § 8-50-501(d)(3).

1. Name of Official: _____ Title/Position: _____

2. Home Address: _____

City: _____ State: _____ Zip Code: _____

Phone: _____ Email: _____

3. Mailing Address: (Check here if same as home address.)

City: _____ State: _____ Zip Code: _____

4. Sources of Income:

Part A. List major source(s) of private income of more than two hundred dollars (\$200) for yourself and your spouse. "Major sources of private income" include, but are not limited to: offices, directorships, and salaried employments. No dollar amounts need to be stated. Select as many recipients as necessary.

None

Name of Source	Address	City	State	Recipients	
				Filer	Spouse
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

Part B. List major source(s) of private income of more than one thousand dollars (\$1,000) for any minor child residing with you. No dollar amounts need to be stated.

None

Name of Source:

5. Positions Held:

List any position held including, but not limited to, officer, director, trustee, general partner, proprietor, or representative of any corporation, firm, partnership, business enterprise, non-profit organization, or educational institution. Both the month and year must be reported for the period of time the position was held. Positions with the federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature, do not require disclosure.

None

Name of Organization	Position Held	Date Held mm/yyyy	
		Start Date	End Date

6. Blind Trust:

For any trust considered to be a blind trust pursuant to T.C.A. § 35-50-120 in which you or your spouse is an interested party, identify the nature of the interest and list the location and the name and address of the Trustee. No individual asset held in such a blind trust need be disclosed.

None

Name of Source	Address	City	State	Recipients	
				Filer	Spouse
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

7. Investments:

List any investment by you, your spouse, or any minor child residing with you in any corporation or other business organization in excess of ten thousand dollars (\$10,000) or five percent (5%) of the total capital. The name of the corporation or organization must be listed but no dollar amounts or percentages of the investment need be stated.

None

Name of Corporation or Organization	Held By		
	Filer	Spouse	Minor Child
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

8. Legislative Expenses:

Part A. List the amount and source (by name) of any contribution from private source(s) used for defraying the expenses related to the adequate performance of your legislative duties. Do not list amounts provided by or reimbursed by the State of Tennessee.

None

Amount	Source

Part B. List travel expenses, including expenses incidental to the travel, paid on behalf of you by a person with an interest in Tennessee state public policy if the travel was for the purpose of informing or advising you with respect to the public policy. Travel expenses paid for or reimbursed by a governmental entity or a recognized organization of public officials need not be disclosed.

None

Amount	Source

9. Lobbying:

List any person, firm, or organization for whom compensated lobbying is done by any associate, your spouse, or any minor child residing with you. Also, list any firm in which you, your spouse, or any minor child residing with you hold any interest for whom compensated lobbying is done. Explain the terms of any such employment, the subject matters lobbied, and/or the measures to be supported or opposed.

None

Name of Lobbyist	Terms of Employment	Subject Matter or Measures	Lobbyist Relation to Filer	
			<input type="checkbox"/> Filer	<input type="checkbox"/> Spouse
			<input type="checkbox"/> Minor Child	<input type="checkbox"/> Associate
			<input type="checkbox"/> Filer	<input type="checkbox"/> Spouse
			<input type="checkbox"/> Minor Child	<input type="checkbox"/> Associate

10. Professional Services:

List in general terms (by areas of the client's interests) the entities to which professional services (such as those of an attorney, accountant, or architect) are furnished by you or your spouse.

None

Licensed Profession	Client Interest	Furnished By	
		Filer	Spouse
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>

11. Retainer Fees:

List any retainer fee you receive from any person, firm, or organization who is in the practice of promoting or opposing, influencing or attempting to influence directly or indirectly, the passage or defeat of any legislation before the Tennessee General Assembly, the legislative committees, or the members thereof.

None

12. Bankruptcy:

List any adjudication of bankruptcy or discharge received in any United States district court within five (5) years of the date of this report.

None

13. Loans:

List any loan or combination of loans for more than one thousand dollars (\$1,000) from the same source made in the previous calendar year to you, your spouse, or any minor child residing with you. *See the attached instructions for the list of loans that should not be disclosed on this report.*

None

Lender Name	Loan Recipient		
	Filer	Spouse	Minor Child
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

14. Services to State Entities:

If you are a member of the Tennessee General Assembly elected prior to and continuously serving since July 1, 2021, in order to establish an exemption from the provisions of T.C.A. § 12-4-103, list the name of any agency, branch, bureau, commission, department, or other division of state government to which the member of the Tennessee General Assembly has provided or offered to provide prior to July 1, 2021, and continues to provide (or continues to offer to provide) to the same entity, a service (any work, labor, or assistance provided in exchange for any salary, fee, payment, reimbursement, or other valuable consideration, or any combination thereof) and the nature of the service provided or offered. (See Public Chapter 347 (2021).)

None

State Entity	Service Provided

15. Leadership PACs:

Each member of the Tennessee General Assembly, and each candidate for the Tennessee General Assembly, must disclose the name of any multi-candidate political campaign committee established or controlled by the member or candidate within the immediately preceding five (5) years of the date of the disclosure.

None

16. Signature: (Must be attested to by a witness.)

I understand that, pursuant to T.C.A. § 8-50-507, submitting a disclosure or amendment to a disclosure form which contains false or incomplete information may subject me to the penalties of perjury. The information contained in this disclosure or amendment to a disclosure form is true, complete, and correct to the best of my knowledge, information, and belief.

Signature of Official or Candidate

Date

I, _____ the undersigned, do hereby witness the above signature,
(Print Name of Witness)
which was signed in my presence.

Signature of Witness

Date

GENERAL INSTRUCTIONS

The positions listed below are required by the Ethics Reform Act of 2006 to file a Statement Interests with the Tennessee Ethics Commission. Please make sure you are using the correct form:

- General Assembly Members;
- Governor;
- Governor's Cabinet;
- Cabinet Level Staff;
- Constitutional Officers

When Must the Statement Be Filed?:

Current officeholders must file by April 15th of each year. Newly appointed officeholders must file within 30 days of appointment to office. The appointing authority must send notice to the Commission within 3 days of appointment.

Where Should the Statement Be Filed?:

Electronic filing: To file electronically for the first time, go to <https://apps.tn.gov/conflict/>, click on "Sign Up," and follow directions to set up a TN.GOV account with your unique authorization code. After setting up your TN.GOV account, go to <https://apps.tn.gov/conflict/> and log in as a returning user to file your Statement of Interests. If you have previously filed electronically, go to <https://apps.tn.gov/conflict> and log in to file your report. If you do not remember your Username and Password, call (629) 888-5870 for technical support, to retrieve that information.

Paper Filing: To file on paper, go to <https://www.tn.gov/content/tn/tec/officials-and-candidates/forms-and-publications.html> to print the form and instructions. Complete your Statement in its entirety. Mail the completed form to the Tennessee Ethics Commission, 404 James Robertson Parkway, Suite 104, Nashville, TN 37243. Your Statement of Interests is not considered filed until received by the Commission. If you have questions, please feel free to contact the Commission's office at: (615) 741- 7959 or e-mail us at ethics.counsel@tn.gov.

An amended Statement of Interests must be filed whenever reported conditions change due to a termination or an acquisition of any of those interests that you are required to report.

This report is a public document and will be posted on the internet. Social security numbers, dates of birth, and account numbers are not required and should not be included on your Statement.

SUPPLEMENTAL INSTRUCTIONS

Question 1. Enter the name of the official filing the Disclosure Statement. Enter the Title/Position held by the official filing the Disclosure Statement. Include the applicable district number, county name, or municipality name as part of the Title/Position held.

Question 2. Enter the home address of the official filing the Disclosure Statement. Also, enter a phone number and a valid electronic mail address if available. It is each filer's responsibility to maintain up-to-date contact information with the Ethics Commission.

Question 3. Enter the mailing address of the official filing the Disclosure Statement. (If the mailing address is the same as the home address, check the box.) It is each filer's responsibility to maintain an up-to-date mailing address with the Ethics Commission and to retrieve and review any items of mail sent to the filer by the Ethics Commission.

Question 4. Sources of Income

"Private income" refers to any income you or your spouse receive in excess of \$200 per annum from a non-governmental source (Part A), and any income a minor child residing with you receives in excess of \$1000 per annum from a non-governmental source (Part B). As a general rule, if the receipts are reportable to the IRS as private income, then they must be listed on the form.

"Private Income" includes, but is not limited to:

- Bank and Bond Interest
- Business Income
- Capital Gains
- Clinical Practice Income
- Income from Employment
- Income from Contractual Relationships
- Directorships
- Dividends from CDs, stocks and securities
- Compensated Fiduciary Positions (trusteeships, conservatorships, etc.)
- Honoraria
- Lecture Fees
- Payments from Annuities, Settlements, etc.
- Rental income
- Research Grants
- Research Foundation Income
- Trust Income (but not the principal which is reported in the investment question)

This list is not exhaustive, but merely exemplary. If you, your spouse, or minor child residing with you have other income that is not listed here but meets the requirements of this section, you are required to list them.

"Private income" does not include monies received directly by inheritance or gift. The term does include the income produced by an investment which has been received by inheritance or gift.

Question 5. Positions Held List any position held including, but not limited to, officer, director, trustee,

general partner, proprietor, or representative of any corporation, firm, partnership, business enterprise, non-profit organization, or educational institution. Both the month and year must be reported for the period of time the position was held. Positions with the federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature, do not require disclosure.

Question 6. Blind Trust For any trust considered to be a blind trust pursuant to T.C.A. § 35-50-120 in which you or your spouse is an interested party, identify the nature of the interest and list the location and the name and address of the Trustee. No individual asset held in such a blind trust need be disclosed.

Question 7. Investments List any investment by you, your spouse, or any minor child residing with you in any corporation or other business organization in excess of ten thousand dollars (\$10,000) or five percent (5%) of the total capital. The name of the corporation or organization must be listed but no dollar amounts or percentages of the investment need be stated.

1. Do not report holdings that are ten thousand dollars (\$10,000) or less in value **OR** five percent (5%) or less of the total capital. If the holding is ten thousand dollars (\$10,000) or less in value, but is five percent (5%) or more of the total capital, the holding must be reported.

If the investment is managed by entities other than yourself, your spouse or a minor child residing with you (such as mutual funds or 401Ks), list the entity managing the account and the type of investment, but not the corporations to which the money has been distributed.

2. Examples of investments that must be listed if held by you, your spouse or minor child residing with you:

- 401K, 403(b) and 457 plans
- Annuities
- Bonds
- Certificates of Deposit
- College Savings Programs
- State Deferred Compensation Plans
- Estates
- Stocks and securities
- IRAs
- Keogh Plans
- Limited Liability Corporations(LLCs)
- Mutual Funds in IRAs
- Mutual Funds not in IRAs
- Notes (investments)
- Pensions
- Real Estate (but not your primary or secondary residence)
- Real Estate Investment Trusts (REITs)
- Retirement Plans for States other than Tennessee
- TIAA-CREF Supplemental Retirement Plans
- Treasury Notes
- Blind Trusts
- Warrants
- Zero Coupon Bonds

Question 8. Legislative Expenses In Part A, list the amount and source (by name) of any contribution from private source(s) used for defraying the expenses related to the adequate performance of your legislative duties. Do not list amounts provided by or reimbursed by the State of Tennessee. In Part B, List travel expenses, including expenses incidental to the travel, paid on behalf of you by a person with an

interest in Tennessee state public policy if the travel was for the purpose of informing or advising you with respect to the public policy. Travel expenses paid for or reimbursed by a governmental entity or a recognized organization of public officials need not be disclosed.

Question 9. Lobbying Interests List any person, firm, or organization for whom compensated lobbying is done by any associate, your spouse, or any minor child residing with you. Also, list any firm in which you, your spouse, or any minor child residing with you hold any interest for whom compensated lobbying is done. Explain the terms of any such employment, the subject matters lobbied, and/or the measures to be supported or opposed.

1. If you, your spouse, or minor child residing with you are associated with a compensated lobbyist, you must provide the name(s) of the entities for which the associate lobbies.
2. If you, your spouse, or a minor child residing with you have any interest in any lobbying firm, you must list the name of the entity. Do not report interests in employers of lobbyists, e.g., do not report interests in public corporations or other entities that may engage a lobbyist.

Question 10. Professional Services List in general terms (by areas of the client's interests) the entities to which professional services are furnished by you or your spouse. For the purpose of this question, you must list the general areas of interests of your clients if you (or your spouse) engage in any profession licensed by the State of Tennessee. You are not, however, required to list your clients or to otherwise furnish personal information about your clients. These professions include, but are not limited to:

Chiropractic	Massage Therapy	Optometry
Dentistry	Medicine	Audiology
Physical Therapy	Midwifery	Nursing
Pharmacology	Podiatry	Architecture
Public Accounting	Veterinary Medicine	Law

This list is not exclusive. If you or your spouse practice a profession that is not listed but that requires a license to practice in the State of Tennessee, you are required to list the general areas of your clients' interests.

Question 11. Retainer Fees List any retainer fee you receive from any person, firm, or organization who is in the practice of promoting or opposing, influencing, or attempting to influence directly or indirectly, the passage or defeat of any legislation before the Tennessee General Assembly, the legislative committees, or the members thereof.

Question 12. Bankruptcy List any adjudication of bankruptcy or discharge received in any United States district court within five (5) years of the date of this report.

Question 13. Loans List any loan or combination of loans for more than one thousand dollars (\$1,000) from the same source made in the previous calendar year to you, your spouse, or any minor child residing with you.

Loans need not be disclosed on this report if they are:

1. From your immediate family (spouse, parent, sibling or child);
2. From a federally insured financial institution or made in accordance with existing law in the ordinary course of doing business of making loans. The loan must bear the usual and customary rate of interest, be made on a basis which assures repayment, evidenced by a written instrument and subject to a due date or amortization schedule;
3. Secured by a recorded security interest in collateral, bearing the usual and customary interest rate of the lender made on a basis which assures repayment; evidenced by a written instrument and subject to a due date or amortization schedule;
4. From a partnership in which you have at least ten percent (10%) partnership interest;
5. From a corporation in which more than fifty percent (50%) of the outstanding voting shares are owned by you or by your immediate family (spouse, parent, sibling or child).

Question 14. Services to State Entities If you are a member of the Tennessee General Assembly elected prior to and continuously serving since July 1, 2021, in order to establish an exemption from the provisions of T.C.A. § 12-4-103, list the name of any agency, branch, bureau, commission, department, or other division of state government to which the member of the Tennessee General Assembly has provided or offered to provide prior to July 1, 2021, and continues to provide (or continues to offer to provide) to the same entity, a service (any work, labor, or assistance provided in exchange for any salary, fee, payment, reimbursement, or other valuable consideration, or any combination thereof) and the nature of the service provided or offered. (See Public Chapter 347 (2021).)

Question 15. Leadership PACs Each member of the Tennessee General Assembly, and each candidate for the Tennessee General Assembly, must disclose the name of any multi-candidate political campaign committee established or controlled by the member or candidate within the immediately preceding five (5) years of the date of the disclosure.

Question 16. Signature Each filer must sign the disclosure statement and acknowledge that the information contained in this statement is submitted under penalty of perjury. The filer's signature must also be attested to by a witness.